#### PCT

## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7: H04L 25/14, 1/00, 25/06, 25/497, 25/03, 25/49,7/02, 7/033, H04B 3/23, 3/32

**A3** 

(11) International Publication Number:

WO 00/28691

(43) International Publication Date:

18 May 2000 (18.05.00)

(21) International Application Number:

PCT/US99/26493

(22) International Filing Date:

9 November 1999 (09.11.99)

(30) Priority Data:

ionity Data.		
60/107,874	9 November 1998 (09.11.98)	US
60/107,880	9 November 1998 (09.11.98)	US
60/108,319	13 November 1998 (13.11.98)	US
60/116,946	20 January 1999 (20.01.99)	US
60/130,616	22 April 1999 (22.04.99)	US

(71) Applicant (for all designated States except US): BROADCOM CORPORATION, ET AL. [US/US]; 16215 Alton Parkway, Irvine, CA 92618 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): AGAZZI, Oscar, E. [AR/US]; 38 Deerfield, Irvine, CA 92606 (US). CREIGH, John, L. [US/US]; 19 Obispo, Rancho Santa Margarita, CA 92688 (US). HATAMIAN, Mehdi [US/US]; 25681 Pacific Hills, Mission Viejo, CA 92692 (US). KRUSE, David, E. [US/NL]; Kosterijland 14, NL-3981 AJ Bunnik (NL). ABNOUS, Arthur [IR/US]; 460 Santa Barbara, Irvine, CA 92606 (US). SAMUELI, Henry [US/US]; 30926 Steeplechase, San Juan Capistrano, CA 92675 (US).

(74) Agent: HOANG, Phuong-Quan; Christie, Parker & Hale, LLP, P.O. Box 7068, Pasadena, CA 91109-7068 (US).

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

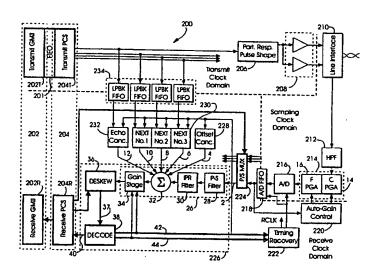
#### Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(88) Date of publication of the international search report:
23 November 2000 (23.11.00)

#### (54) Title: MULTI-PAIR GIGABIT ETHERNET TRANSCEIVER



(57) Abstract

Various systems and methods providing high speed decoding, enhanced power reduction and clock domain partitioning for a multi-pair gigabit Ethernet transceiver are disclosed. ISI compensation is partitioned into two stages: a first stage compensates ISI components induced by characteristics of a transmitter's partial response pulse shaping filter in a demodulator, a second stage compensates ISI components induced by characteristics of a multi-pair transmission channel in a Viterbi decoder. High speed decoding is accomplished by reducing the DFE depth by providing an input signal from a multiple decision feedback equalizer to the Viterbi based on a tail value and a subset of coefficient values received from a unit depth decision-feedback equalizer. Power reduction is accomplished by adaptively truncating active taps in the NEXT, FEXT and echo cancellation filters, or by disabling decoder circuitry portions, as channel response characteristics allow. A receive clock signal is generated such that it is synchronous in frequency with analog sampling clock signals and has a particular phase offset with respect to one of the sampling clock signals. This phase offset is adjusted such that system performance degradation due to coupling of switching noise from the digital sections to the analog sections is substantially minimized.

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

					•		••
AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand	211	Zimoaowe
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	Li	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

International Application No PL., US 99/26493

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 H04L25/14 H04L1/00 H04L25/06 H04L7/033 H04L25/497 H04L25/03 H04L25/49 H04L7/02 H04B3/23 H04B3/32 According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 $\begin{array}{ll} \mbox{Minimum documentation searched (classification system followed by classification symbols)} \\ \mbox{IPC 7} & \mbox{H04L} & \mbox{H04B} \end{array}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

PAJ, EPO-Internal, WPI Data, INSPEC, COMPENDEX

C. DOCUM	ENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 206 770 A (CODEX) 30 December 1986 (1986-12-30) column 3, line 1 - line 9 column 4, line 15 - line 38 column 5, line 48 -column 6, line 6 column 10, line 1 - line 6 column 14, line 53 - line 55 column 16, line 27 - line 32	99-122, 137-173
X	US 5 566 191 A (MAKOTO OHNISHI ET AL) 15 October 1996 (1996-10-15) column 3, line 49 - line 51	137-173
X	US 5 497 401 A (RAMASWAMY) 5 March 1996 (1996-03-05) column 2, line 6 - line 13 column 6, line 10 - line 17 column 7, line 54 -column 8, line 5	137-173
	-/	

X Further documents are listed in the continuation of box C.	X Patent family members are listed in annex.
*Special categories of cited documents:  *A* document defining the general state of the art which is not considered to be of particular relevance  *E* earlier document but published on or after the international filing date  *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  *O* document referring to an oral disclosure, use, exhibition or other means  *P* document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
9 October 2000	1 2 10. 2000
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  Fax: (+31-70) 340-3016	Authorized officer  Scriven, P

International Application No
Pc./US 99/26493

- (a) · i		PC./US 99/26493
Category *	etion) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages	
Ollegory	Cization of Goodinerit, with indication, where appropriate, or the relevant passages	Relevant to claim No.
X	US 5 159 282 A (MUTSUMU SERIZAWA) 27 October 1992 (1992-10-27) column 1, line 39 - line 54	238-271
X	EP 0 756 404 A (LUCENT) 29 January 1997 (1997-01-29) column 6, line 39 -column 7, line 2	238-271
X	EP 0 496 152 A (ROKE MANOR RESEARCH) 29 July 1992 (1992-07-29) column 1, line 36 - line 42	238-271
A	BERGMANS ET AL.: "On the use of decision feedback for simplifying the Viterbi detector" PHILIPS JOURNAL OF RESEARCH, vol. 42, no. 4, 23 November 1987 (1987-11-23), pages 399-428, XP000565157 Amsterdam, NL ISSN: 0165-5817 page 406, paragraph 1; figure 3 page 408, paragraph 1 page 409, paragraph 2	99,111
х	GB 2 219 469 A (PHILIPS) 6 December 1989 (1989-12-06) page 2, line 27 - line 31 claim 1	215-233
A	RAHELI R ET AL: "PER-SURVIVOR PROCESSING: A GENERAL APPROACH TO MLSE IN UNCERTAIN ENVIRONMENTS" IEEE TRANSACTIONS ON COMMUNICATIONS., vol. 43, no. 2-4, February 1995 (1995-02), pages 354-364, XP002059868 NEW YORK, US page 356, left-hand column, paragraph 3	99,111
A	EP 0 778 687 A (KOMMUNIKATIONS-ELEKTRONIK) 11 June 1997 (1997-06-11) page 2, line 35 - line 40 page 3, line 3 - line 14	99,111
A	US 4 631 735 A (QURESHI) 23 December 1986 (1986-12-23) column 4, line 30 - line 41	99,111
E	US 6 009 120 A (NOBAKHT) 28 December 1999 (1999-12-28) column 2, line 15 - line 30 column 2, line 53 -column 3, line 11 column 8, line 44 - line 61	99-122, 215-233
	· -/	

International Application No F.../US 99/26493

CICATA	N POCHMENTS CONDINGS	F/US 99/26493
Category *	ation) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages	
	on the research with structured, where appropriate, of the research passages	Relevant to claim No.
X,P	WO 99 22482 A (G2 NETWORKS) 6 May 1999 (1999-05-06) page 1, line 15 - line 18 figure 3	338-377
A, P	HARATSCH: "High-speed VLSI implementation of reduced complexity sequence estimation algorithms with application to Gigabit Ethernet 1000Base-T" INTERNATIONAL SYMPOSIUM ON VLSI TECHNOLOGY, SYSTEMS, AND APPLICATIONS, 8 - 10 June 1999, pages 171-174, XP002136642 Piscataway, US page 172, left-hand column, paragraph 2	99-122
A,P	EP 0 889 612 A (LUCENT) 7 January 1999 (1999-01-07) page 3, line 47 - line 55 page 4, line 40 - line 42 figure 5	99,111
		·

# PCT/US 99/26493

#### INTERNATIONAL SEARCH REPORT

Box	Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)
This Inte	emational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1.	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2. X	Claims Nos.: 1-98 123-136 174-214 234-237 272-306 318-337 378-379 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  see FURTHER INFORMATION sheet PCT/ISA/210
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inte	mational Searching Authority found multiple inventions in this international application, as follows:
	see additional sheet
1. X	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark c	The additional search fees were accompanied by the applicant's protest.  X  No protest accompanied the payment of additional search fees.

#### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 1-98 123-136 174-214 234-237 272-306 318-337 378-379

Claims searched:

99-122; 137-173; 215-233; 238-271; 307-317; 338-377.

In view of the large number and also the wording of the claims presently on file, which render it difficult, if not impossible, to determine the matter for which protection is sought, the present application fails to comply with the clarity and conciseness requirements of PCT Article 6 (see also PCT Rule 6.1(a)) to such an extent that a meaningful search on the basis of all the claims is impossible.

Consequently, the search has been carried out for those claims which do appear to be clear and concise, in that they represent, in a clear and concise manner, subject matter to which the application appears to be directed, namely claims 99-122, 137-173, 215-233, 238-271, 307-317 338-377.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

#### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 99-122

Decision feedback sequence estimation

2. Claims: 137-173

Computation of metrics

3. Claims: 215-233

Removal of intersymbol interference in two stages

4. Claims: 238-271

Regulation of power consumption

5. Claims: 307-317

Reduction of switching noise

6. Claims: 338-377

Timing recovery

ormation on patent family members

International Application No
PL./US 99/26493

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0206770 A	30-12-1986	US 4713829 A DE 3687603 A DE 3687603 T	15-12-1987 11-03-1993 19-05-1993
US 5566191 A	15-10-1996	JP 5315977 A	26-11-1993
US 5497401 A	05-03-1996	AU 691986 B AU 3791395 A BR 9505197 A CA 2161467 A CN 1132449 A EP 0713337 A JP 8223501 A SG 50370 A US 5717471 A	28-05-1998 23-05-1996 16-09-1997 19-05-1996 02-10-1996 22-05-1996 30-08-1996 20-07-1998 10-02-1998
US 5159282 A	27-10-1992	JP 4077019 A JP 2894751 B JP 3177136 A US 5283531 A US 5214391 A	11-03-1992 24-05-1999 01-08-1991 01-02-1994 25-05-1993
EP 0756404 A	29-01-1997	US 5646957 A JP 9167945 A SG 42427 A	08-07-1997 24-06-1997 15-08-1997
EP 0496152 A	29-07-1992	GB 2252221 A AT 146922 T DE 69123830 D DE 69123830 T DK 496152 T ES 2095300 T	29-07-1992 15-01-1997 06-02-1997 12-06-1997 09-06-1997 16-02-1997
GB 2219469 A	06-12-1989	DE 68909421 D DE 68909421 T EP 0323870 A GB 2214387 A JP 1212931 A JP 2838101 B KR 9614680 B US 4985902 A	04-11-1993 07-04-1994 12-07-1989 31-08-1989 25-08-1989 16-12-1998 19-10-1996
EP 0778687 A	11-06-1997	DE 19545473 A US 5870433 A	12-06-1997 09-02-1999
US 4631735 A	23-12-1986	NONE	
US 6009120 A	28-12-1999	NONE	
WO 9922482 A	06-05-1999	US 6002279 A AU 1112599 A	14-12-1999 17 <b>-</b> 05-1999
EP 0889612 A	07-01-1999	US 5872817 A JP 11150481 A	16-02-1999 02-06-1999